

**IMAGE MANAGEMENT SYSTEM AND METHODS**  
**USING DIGITAL WATERMARKS**

Related Application Data

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[0001] This patent application claims the benefit of U.S. Provisional Application Nos. 60/284,163 filed April 16, 2001, entitled "Watermark Systems and Methods," and 60/284,776 filed April 18, 2001, entitled "Using Embedded Identifiers with Images." This application is also a continuation-in-part of assignee's U.S. Patent Application No. 09/800,093, entitled "Geo-Referencing of Aerial Imagery Using Embedded Image Identifiers and Cross-Referenced Data Sets," filed March 5, 2001.

[0002] This patent application is also related to assignee's U.S. Patent Application No. 09/833,013, entitled "Digitally Watermarked Maps and Signs and Related Navigational Tools," filed April 10, 2001.

Field of the Invention

[0003] The present invention relates to image management and processing, and is particularly illustrated in the context of a satellite and other aerial imagery management system.

Background and Summary of the Invention

[0004] Aerial imagery has vastly improved since the Wright brothers first took to the sky. Indeed, there have been many improvements in the photography and digital imaging fields.

[0005] While the earliest aerial imagery relied on conventional film technology, a variety of electronic sensors are now more commonly used. Some collect image data corresponding to specific visible, UV or IR frequency spectra (e.g., the MultiSpectral Scanner and Thematic Mapper used by the Landsat satellites). Others use wide band sensors. Still others use radar or laser systems (sometimes stereo) to sense topological

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**[0006]** The quality of the imagery has also constantly improved. Some satellite systems are now capable of acquiring image and topological data having a resolution of less than a meter. Aircraft imagery, collected from lower altitudes, provides still greater resolution.

**[0008]** ... According to one aspect of the present invention, a digital watermark-based image management system helps solve these and other problems. A digital watermark is ideally employed as an enabler to access a related family of images, linked in a database (or other data structure) via digital watermark identifiers. Watermark identifiers can also be used to identify the source of an image, track images and documents, document a distribution chain, and identify unlabeled hard copy images. According to another aspect, digital watermarks help to provide security, monitoring and gatekeeper-like functions.

**[0009]** Digital watermarking is a form of steganography that encompasses a great variety of techniques by which plural bits of digital data are hidden in some other object without leaving human-apparent evidence of alteration.

**[0010]** Digital watermarking may be used to modify media content to embed a message or machine-readable code into the content. The content may be modified such that the

**[0011]** Most commonly, digital watermarking is applied to media such as images, audio signals, and video signals. However, it may also be applied to other types of data, including documents (e.g., through line, word or character shifting, through texturing, graphics, or backgrounds, etc.), software, multi-dimensional graphics models, and surface textures of objects.

**[0013]** Digital watermarking systems typically have two primary components: an embedding component that embeds the watermark in the media content, and a reading component that detects and reads the embedded watermark. The embedding component embeds a watermark pattern by altering data samples of the media content. The reading component analyzes content to detect whether a watermark pattern is present. In

**[0014]** Watermarking may be performed in stages, at different times. For example, a unique identifier can be watermarked into an image relatively early in the process, and other information (such as finely geo-referenced latitude/longitude) can be watermarked later. A single watermark can be used, with different payload bits written at different times. (In watermark systems employing pseudo-random data or noise (PN), e.g., to randomize some aspect of the payload's encoding, the same PN data can be used at both times, with different payload bits encoded at the different times.).

[0015] Alternatively, different watermarks can be applied to convey different data. The watermarks can be of the same general type (e.g., PN based, but using different PN data). Or different forms of watermark can be used (e.g., one that encodes by adding an overlay signal to a representation of the image in the pixel domain, another that encodes by slightly altering DCT coefficients corresponding to the image in a spatial frequency domain, and another that encodes by slightly altering wavelet coefficients corresponding to the image. Of course, other watermarking techniques may be used as suitable replacements for those discussed above.).

**[0016]** In some multiple-watermarking approaches, a first watermark is applied before a satellite image is segmented into patches. A later watermark can be applied after segmentation. (The former watermark is typically designed so as to be detectable from even small excerpts of the original image.)

**[0017]** A watermark can be applied by an imaging instrument. In some embodiments, the image is acquired through an LCD optical shutter, or other programmable optical device, that imparts an inconspicuous patterning to the image as it is captured. (One

particular optical technique for watermark encoding is detailed in U.S. Patent No. 5,930,369.). Or the watermarking can be effected by systems in a satellite (or other aerial platform) that process the acquired data prior to transmission to a ground station. In some systems, the image data is compressed for transmission – discarding information that is not important. The compression algorithm can discard information in a manner calculated so that the remaining data is thereby encoded with a watermark.

[0018] A ground station receiving the satellite transmission can likewise apply a watermark to the image data. So can each subsequent system through which the data passes, if desired.

[0019] Preferably, such watermarking processes are secure and cannot be replicated by unauthorized individuals.

[0020] The foregoing and additional features and advantages of the present invention will be more readily apparent from the following detailed description with reference to the following figures.

#### Brief Description of the Drawings

[0021] Fig. 1 is a functional block diagram illustrating a digital watermarking process.

[0022] Fig. 2 illustrates components of an image management system.

[0023] Fig. 3 illustrates associating related images and information with a digital watermark identifier.

[0024] Fig. 4 is a functional block diagram illustrating gatekeepers in a network.

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**[0025]** Figs. 5 and 6 are flow diagrams illustrating gate-keeping methods and processes according to the Fig. 4 embodiment.

### Detailed Description

**[0026]** For expository convenience, the following discussion focuses on satellite and other aerial “imagery” to illustrate the principles of the invention. The principles of the invention, however, are equally applicable to other forms of imagery, including non-aerial imagery. Accordingly, the term “image” should be used to encompass other data sets, and the term “pixel” should be construed to encompass component data from such other data sets. The term “image” should also be construed to include both digital and analog data sets.

## Watermarking Images

**[0027]** With reference to Fig. 1, an image (or image data) 10 is captured from an aerial platform 11, such as an aircraft, satellite, balloon, unmanned aircraft, etc. The image 10 is communicated to a receiving or ground station 12. (In some instances, the image signal may be relayed through various aerial and/or other ground stations before reaching ground station 12.). Ground station 12 preferably includes a watermark embedder 12a, which embeds a digital watermark with the image 10, to produce a digitally watermarked image 13.

**[0028]** A digital watermark is typically embedded in a digital representation of the image 10. Although not required, the digital watermark preferably survives transformation to various analog representations (e.g., printing) as well. The digital watermark includes a watermark identifier (ID). In the preferred embodiment, each image is digitally watermarked to include a unique watermark ID. The ID typically includes plural-bit data, e.g., in the range of 2-256 bits. In one embodiment, a digital watermark (and identifier) is redundantly embedded within an image to improve

robustness. For example, an image is divided into tiles or sections, and each tile or section is embedded with the digital watermark (and ID). Alternatively, a subset of the sections are embedded. Such techniques help to ensure the robustness of a watermark, particularly when an image is to be manipulated (e.g., clipped, cut-and-pasted, resized, rotated, etc.).

[0029] Digitally watermarked image 13 is stored in a database 14. (A watermarked image can be directly communicated to database 14, transferred via a storage medium and/or relayed to database 14.). Database 14 preferably manages images and/or related data. Database software, e.g., such as provided by Microsoft, Oracle, Sun Microsystems, etc., can be executed by a computer or server to help maintain database 14. Of course, database 14 can be maintained by a ground station 12 system, or be maintained in a remotely located network. In one embodiment, database 14 communicates with a network, such as a LAN, WAN, dedicated network, private network, etc. In some embodiments, database 14 includes a plurality of databases. In this case, at least one database maintains image data, while at least a second database maintains related information (e.g., metadata, related files, comments, file history, and/or security clearance information, etc.). Here, metadata is broadly defined to include a variety of information such as creation data, geo-location information, ancestry data, security information, access levels, copyright information, security classifications, usage rights, and/or file history, etc.

[0030] Image 13 and/or any related information is preferably stored and indexed according to watermark IDs. For example, a watermark ID provides a thread by which images and related information are grouped, stored and/or indexed. (The dashed lines in Figure 1 represent this optional embodiment.).

[0031] Optionally, image data is communicated to a second database 15. Database 15 can be used to maintain original image 10 and/or an original watermarked image 13.

## Image and Derivative Image Management Using Digital Watermarks

[0032] As indicated above, a problem faced by image management systems is how to efficiently manage an image's ancestry and related information. Normal image processing (e.g., scaling, cropping, rotating, clipping, resizing, cut-and-pasting image blocks, and/or marking, etc.) of an "original" image results in a "derivative" image. In conventional systems, derivative images frequently retain minimal, if any, related metadata. The metadata, such as that stored in header or footer files, is easily separable from derivative images. Separation results in a significant loss of information, particularly for a derivative image. One conventional solution is to manually record an image identifier as an image moves through an exploitation (or derivative) process. This manual recording process is labor intensive and cumbersome at best.

**[0033]** A better solution, as disclosed in this application, is to place a unique digital watermark ID within an image to enable database linking and indexing. Metadata contained within the database can be then associated with a specific image, or with a family of images, via the unique watermark ID. With reference to Fig. 2, a user terminal 18 retrieves a digitally watermarked image 001 from database 14. User terminal 18 preferably includes a processor, memory and suitable software instructions to facilitate digital watermark detection and/or embedding. The user terminal 18 will preferably include an operating system, such as Windows, Windows NT, Linux, etc., and image-handling (and editing) software. Suitable image-handling software can be obtained from Microsoft, Adobe, SRI and Erdas, among others. Preferably, both the watermark detecting software and the image-handling software are compatible with various types of image formats, such as bit-maps, JPEG files, TIF files, etc. (However, such compatibility is not required.).

**[0034]** Watermark detection software executing in user terminal 18 analyzes image 001. The watermark detection software can be called by the imaging software, may operate as a plug-in, or may be even integrated with the image-handling software,



operating system, or other software module. The watermark detection software extracts the unique watermark identifier (e.g., ID-1) embedded within image 001. Having obtained the identifier (ID-1), the user terminal 18 can optionally communicate with database 14 to retrieve related information, such as metadata, files, and related images. For example, the watermark ID-1 is used to interrogate database 14 to retrieve information regarding the geo-coordinates for the image, the time and date taken, analyst comments, and/or analyst information, etc. Preferably, the watermark ID-1 can also be used to index any derivative images, e.g., derivative 001. (In this case, derivative 001 is an image derived from image 001.).

[0035] In a preferred embodiment, since each image includes a unique identifier, derivative 001 includes a watermark identifier (e.g., ID-5), which is unique from the corresponding original image 001 (e.g., identifier ID-1). Derivative 001 and image 001 are associated (e.g., linked) together in database 14, via identifier ID-1 (and, optionally, via ID-5).

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[0036] In some instances, user terminal 18 will create additional derivatives. Take for instance, an example when user terminal 18 enlarges the derivative 001 image, thus creating a new derivative 001a. This new derivative is preferably uniquely identifiers with a digital watermark. A process of digitally watermarking a derivative typically involves removing the original watermark from the derivative and replacing the watermark with a new unique identifier. (In an alternative embodiment, the original watermark is altered, e.g., by changing one or more message bits, to create the new unique identifier. In another embodiment, a second watermark is added to the derivative image to complement the first (or more) watermark. In this case, the first watermark identifies the original image, and the second watermark identifies the derivative.). Preferably, upon creating derivative 001a, the digital watermarking software removes the derivative 001 watermark (or at least a portion of the watermark, e.g., identifier ID-5) from the derivative 001 image. Assignees' U.S. application 09/503,881 discusses some techniques for such. Artisans know others still. Derivative 001a is then embedded with a

unique digital watermark identifier (e.g., ID-10).

[0037] The watermark embedding software can determine an appropriate identifier in a number of ways. In one embodiment, the embedding software queries database 14 for an appropriate, or available, identifier. In another case, embedding software (or user terminal 18) is assigned a range of identifiers, and an identifier is chosen from the available range. In still another embodiment, the embedding software randomly or pseudo-randomly selects the identifier, or alters a portion of the original image identifier, e.g., 2-32 bits of the original watermark identifier. . .

**[0038]** An image and a watermark identifier are combined to produce a digitally watermarked image (or derivative image) preferably in the same format and density as the input image. As an optional feature, software provides an indicator to signal success or failure in the watermarking effort. For example, the software can analyze whether the watermark was embedded, and/or whether the image contains the same format and density as the original input image. Upon a failure, user terminal 18 re-embeds the digital watermark or aborts the process.

**[0039]** Derivative image 001a is stored in database 14. Related information can also be stored in database 14. (As discussed above, database 14 may include a plurality of databases. One such database may manage images, while another database manages related information. Preferably, however, the unique identifiers are used consistently between the plurality of databases to link related images and information.). Database 14 links derivative 001a with image 001, derivative 001 and any related information (e.g., metadata, comments, files, history, security, etc.). Accordingly, image ancestry and any related information is efficiently maintained.

**[0040]** Figure 3 is a diagram further illustrating linking images, derivatives and related information via a unique watermark identifier. An original image 20 is watermarked with a unique identifier 22. A first derivative image 24 (e.g., perhaps an enlarged or cropped

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## Security and Rewritable Watermarks

**[0042]** One aspect of the present invention is to employ “rewritable” watermarks. A rewritable watermark includes a watermark of which all or a portion of which may be changed. In a preferred embodiment, only a portion (e.g., a portion of the payload) of a watermark is rewritten to update permission levels, reflect derivative work, etc.

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[0043] There are often situations where it is desirable to carry some form of security access indicator in an image, e.g., via a digital watermark. The security access indicator defines a level of security required to view, edit or comment with respect to an image. Access to the image is then controlled by appropriately enabled software, which extracts the indicator (or receives the indicator from a watermark decoder) and determines usage. In one embodiment, the indicator indicates defines a required level. If a user's security level is equal to or greater (e.g., as determined from a password, user terminal identifier, login, linked security clearance level, etc.) to that carried in a security access indicator, then a user is allowed access to the image or data. In another embodiment, a security code may indicate that a particular user can view the image, but cannot edit or store comments regarding such.

[0044] Consider the following example. An image "A" is defined to include an "unclassified" security classification. Image A's watermark then includes a unique identifier and additional plural-bits set to a predetermined number, e.g., all set to zero (or to a predetermined number or pattern). These additional plural-bits define the unclassified security classification. An image "B" is a derivative of image A, and has a "secret" security classification encoded in the plural-bits. Before either image A or B is opened (or requested) the security level contained within the watermark is validated against the security level of the individual requesting access, and permission is only granted to those with adequate clearance. In one embodiment, local software (e.g., executing on a user terminal) validates the security access by decoding the watermark, extracting the security bits, and comparing the security bits (or corresponding security level) with the security clearance of a user (or terminal). In another embodiment, software running on a central server monitors and validates security access. Or in another embodiment software associated with the database regulates the security access.

[illegible]

**[0047]** The creation process typically involves determining a new image identifier. As discussed above, there are many ways to determine an image identifier. In one case, the interface queries the database 14 to obtain a new image identifier. The retrieved image

[0048] In one embodiment, the above-mentioned steps (e.g., creating, watermarking, and saving) are considered a transaction, e.g., where all of the steps must be carried out for the transaction to be complete.

[0049] Another aspect of the present invention is a gatekeeper module. With reference to Figure 4, a gatekeeper (or “sentry”) 42 resides on network terminals 40 and 44. Terminals 40 and 44 communicate, e.g., via a network, direct link, e-mail, etc. Sentry 42 monitors the flow of digital watermarked images and related information by extracting digital watermark identifiers or embedded security information from transmitted images. The sentry 42 can compare extracted information against user (or terminal) security clearance information. In one embodiment, sentry is an independent software module, although sentry 42 may be incorporated into other software components (e.g., applications, operating system, etc.) of a network terminal 40 and 44. Sentry 42 monitors and controls the flow of images at various points in a network system. Such activity is logged (e.g., recorded, stored, etc.) in database 46. To monitor an image transmitted from user terminal 40 to user terminal 44, sentry 42a decodes an embedded watermark identifier from an image to be transferred (step S10, Fig. 5). The identifier, destination address, and optionally a date/time stamp are communicated to database 46 (step S12), where such information is recorded as a data record (or file, log, table, database entry, history, etc.) as in step S14. Preferably such transmission activity is associated with the unique identifier of the transferred image.

**[0052]** .. Sentry 42 can be deployed in a number of ways. In one embodiment, sentry 42 is integrated (or stored, or connected) to a workstation or server in such a way that all image data must first pass through the sentry 42. In another embodiment, sentry 42 includes a separate hardware (or hardware/software) device inserted between a network (or network connection) and a user terminal. As such, sentry 42 decodes watermarks and intercepts passwords from image traffic before the user terminal receives the image, or directly after transmitting an image.

[0053] In another embodiment, e.g., in a TCP/IP environment, a sentry 42 is deployed as software within a TCP/IP stack in the user station or server. In yet another embodiment, a sentry is incorporated in (or called by) an image-handling program's open, save and close operations.

[0054] When used in connection with a database history or other record, sentry 42 provides efficient tracking and tracing. Since the history file reveals each use (and printing, transmission, etc.) of a watermarked image, the image can be efficiently tracked as it passes from terminal to terminal, or from database to terminal, etc.

#### Fragile Watermarks

[0055] Some images may include at least two watermarks. A first watermark includes a unique identifier, as discussed above. This identifier allows database inquires and association as discussed above. A second watermark can be applied prior to printing, faxing, etc. This second watermark preferably includes a so-called fragile watermark. That is, it is designed to be lost, or to degrade predictably, when the data set into which it is embedded is processed in some manner. (Fragile watermark technology is disclosed, e.g., in applications 09/234,780, 09/433,104, 09/498,223, 60/198,138, 09/562,516, 09/567,405, 09/625,577, 09/645,779, and 60/232,163.).

[0056] Once an image is printed, it then includes both the first and second watermarks. If the image is subsequently scanned back into a digital form, e.g., via a scanner, photocopier, web cam, digital camera, etc., the fragile watermark is corrupted (or destroyed) in a foreseeable manner. Printed copies can be tracked and traced accordingly. For example, a photocopied image is scanned into a digital form. The first watermark is used to identify the image and retrieve an image history (e.g., as created by a sentry or other logging method). Since the fragile watermark is destroyed (or predictably degraded) in the copy process, the photocopy is determined to be an



unauthorized copy. The history log can be used to determine which user, or user terminal, printed the copy.

### Conclusion

[0057] Watermarks can be applied to any data set (e.g., an image, map, picture, document, etc.) for forensic tracking purposes. This is particularly useful where several copies of the same data set are distributed through different channels (e.g., provided to different users). Each can be "serialized" with a different identifier, and a record can be kept of which numbered data set was provided to which distribution channel. Thereafter, if one of the data sets appears in an unexpected context, it can be tracked back to the distribution channel from which it originated.

[0058] In an alternative embodiment, with reference to Fig. 1, a digital watermark embedder is included in aerial platform 11. The aerial embedder embeds images (e.g., after or during capture) and relays such to ground station 12. In yet another embodiment, an image is digitally watermarked downstream from ground station 12, such as in a user terminal, or an embedder associated with the databases 14 and/or 15.

[0059] Although not belabored, artisans will understand that the systems described above can be implemented using a variety of hardware and software systems. One embodiment employs a computer or workstation with a large disk library, and capable database software (such as is available from Microsoft, Oracle, etc.). The watermarking and database operations can be performed in accordance with software instructions stored in the disk library or on other storage media, and executed by a processor in the computer as needed. (Alternatively, dedicated hardware, or programmable logic circuits, can be employed for such operations.)

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[0060] The various section headings in this application are provided for the reader's convenience and provide no substantive limitations. The features found in one section may be readily combined with those features in another section.

[0061] To provide a comprehensive disclosure without unduly lengthening this specification, the above-mentioned patents and patent applications are hereby incorporated by reference. The particular combinations of elements and features in the above-detailed embodiments are exemplary only; the interchanging and substitution of these teachings with other teachings in this application and the incorporated-by-reference patents/applications are also contemplated.

[0062] It should be appreciated that the present invention is not limited to managing satellite and other aerial imagery. Indeed, other imagery may be managed with the present invention. Also, the present invention encompasses a "non-secure" type of system. In one such embodiment, watermark identifiers are used to link images and/or related information. A security or permission level is not required in such a system.

[0063] In view of the wide variety of embodiments to which the principles and features discussed above can be applied, it should be apparent that the detailed embodiments are illustrative only and should not be taken as limiting the scope of the invention. Rather, we claim as our invention all such modifications as may come within the scope and spirit of the following claims and equivalents thereof.

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